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James D. Ryndak
Typed or printed name of person signing this certificate
Signature *[Signature]* Date 6/18/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Dongping Fang et al.
Appl. No. : 09/710,401
Filed : November 9, 2000
Title : SYSTEM AND METHOD FOR BUILDING A TIME SERIES MODEL
Grp./A.U. : 2121
Examiner : Not yet assigned

Docket No. : 40010-10028

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Technology Center 2100

Commissioner for Patents
Washington, D.C. 20231

SECOND INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed.

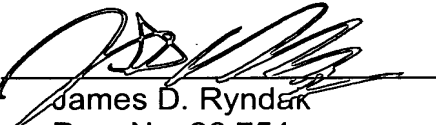
Regarding the documents listed on the attached PTO-1449, Applicants believe the same may qualify as "prior" art to this application and should be treated accordingly, although Applicants reserve the right to contest the prior art status of any document cited herein.

Pursuant to 37 C.F.R. 1.97(b)(4), this Statement is being filed before the mailing of a first Office Action. No fee is required.

Each item of information contained in this Information Disclosure Statement was first cited in an International Search Report in a counterpart PCT application on March 22, 2002. Pursuant to 37 C.F.R. 1.97(e), this Statement is being filed within three months of the International Search Report.

Respectfully submitted,

By:


James D. Ryndak
Reg. No. 28,754
Attorney for Applicants

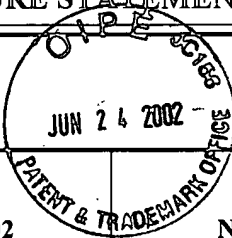
Dated: June 18, 2002

RYNDAK & SURI
30 N. LaSalle Street, Suite 2630
Chicago, IL 60602
(312) 214-7770

STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT

Docket No.
40010-10028

In Re Application Of: Dongping Fang et al.



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Serial No.
09/710,401

Filing Date
November 9, 2002

Examiner
Not yet assigned

Group Art Unit
2120

Invention: SYSTEM AND METHOD FOR BUILDING A TIME SERIES MODEL

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TO THE ASSISTANT COMMISSIONER FOR PATENTS, Technology Center 2100

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

Signature

Dated: June 18, 2002

James D. Ryndak
Reg. No. 28,754
RYNDAC & SURI
30 North LaSalle Street, Suite 2630
Chicago, IL 60602
(312) 214-7770

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